

## SYSTEM AND METHOD FOR CONTROLLING ACCESS TO A NETWORK USING REDIRECTION

### 5 FIELD OF THE INVENTION

The invention provides an apparatus and a method to improve the security and access control over a network, such as wireless local area network ("WLAN"), through web browser redirection.

10

### BACKGROUND OF THE INVENTION

The context of the present invention is the family of wireless local area networks (WLANS) employing the IEEE 802.1x architecture having an access point (AP) that provides access for mobile communications devices (also called "clients" or "client devices") and to other networks, such as hard wired local area and global networks, such as the Internet. Advancements in WLAN technology have resulted in the publicly accessible hot spots at rest stops, cafes, airports, libraries and similar public facilities. Presently, public WLANS offer mobile communication device (client) users access to a private data network, such as a corporate intranet, or a public data network such as the Internet, peer-to-peer communication and live wireless TV broadcasting. The relatively low cost to implement and operate a public WLAN, as well as the available high bandwidth (usually in excess of 10 Megabits/second) makes the public WLAN an ideal access mechanism through which mobile wireless communications device users can exchange packets with an external entity.

25 When a mobile user roams into a hotspot network, it may be necessary for the hotspot network and the user's service provider network to carry out a roaming protocol to authenticate the user and grant user access. More particularly, when a user attempts to access service within a public WLAN coverage area, the WLAN first authenticates and authorizes the user, prior to granting network access. After authentication, the public WLAN opens a secure data channel to the mobile communications device to protect the privacy of data passing between the WLAN and the device. Presently, many manufacturers of WLAN equipment have adopted the IEEE 802.1x standard for deployed equipment. Hence, this

30

standard is the predominant authentication mechanism utilized by WLANs. Unfortunately, the IEEE 802.1x standard was designed with private LAN access as its usage model. Hence, the IEEE 802.1x standard does not provide certain features that would improve the security in a public WLAN environment.

Fig. 1 illustrates the relationships among three entities typically involved in an authentication in a public WLAN environment: a user terminal or mobile terminal/mobile communications device/client device (MT) 140, a WLAN 124 having at least one access point (AP), and the authentication server (AS) 150, which may be associated with a particular service provider, or virtual operator. The trust relationships are as follows: the MT has an account with AS and thus they mutually share a trust relationship 142; the WLAN operator and the operator owning the AS (referred to as "virtual operator" thereafter) have a business relationship, thus the AP or WLAN and the AS have a trust relationship 126. The objective of the authentication procedure is to establish a trust relationship between the MT and the AP by taking advantage of the two existing trust relationships.

In a web browser based authentication method, the MT directly authenticates with the AS, using the web browser through a Hyper Text Transfer Protocol Secured Sockets (HTTPS) protocol and ensures that the AP (and anyone on the path between the MT and the AS) cannot trespass upon or steal confidential user information. While the channel is secure, the AP cannot determine the result of the authentication unless explicitly notified by the AS. However, the only information the AS has related to the MT is its Internet protocol or IP address at the other end of the HTTPS session. When firewalls, Network Address Translation (NAT) servers, or web proxies are electronically situated between the AS and the MT, which is normally the case with the virtual operator configuration, it is difficult or even impossible for the AS to initiate a session to notify the AP about the authentication result of the authentication and to identify the MT.

Most existing WLAN hot spot wireless providers use a web browser based solution for user authentication and access control, which proves convenient to the user and does not require any software download on the user device. In such a solution, the user is securely authenticated through HTTPS by a server, which in turn notifies the wireless AP to grant access to the user. Such an authentication server AS may be owned by the WLAN operator or any third party providers, such as Independent Service Providers (ISPs), pre-paid card providers or cellular operators, referred to more broadly as virtual operators.

In the prior art, the authentication is achieved through a communication between the user and the authentication server, through a secure tunnel. As such the AP does not translate the communication between the user and the authentication server. Consequently, a separate communication referred to as authorization information between the AP and the authentication server AS must be established so that the AP is notified of the authorization information.

Access control in the AP is based on the address of the mobile communications device/client device, where the addresses may be physical addresses (PHY), MAC addresses or IP addresses, and therefore, the authentication server AS can use the mobile terminal MT IP address (the source address of the HTTPS tunnel) as the identifier when it returns the authentication result to the AP. This approach succeeds, if neither a firewall nor a NAT between the AP and the authentication server AS exists, such as illustrated by firewall FW and the local server LS. In general and when virtual operators are present (e.g. when roaming is involved), the authentication server is located outside of the wireless access network domain, and thus outside of the firewall FW, and often the HTTPS connection used for authentication actually goes through a web proxy as shown in Fig. 2. The source address that the authentication server AS receives would be the web proxy's address, which cannot be used to identify the mobile terminal MT user device and, therefore, cannot be used by the AP in assuring a secure connection.

PU030050, Junbiao Zhang, Saurabh Mathur, Kumar Ramaswamy, "TECHNIQUE FOR SECURE WIRELESS LAN ACCESS" US Application Serial No. 10/424,442, filed April 28, 2003, describes a general technique of web browser based secure WLAN access solution in hop spot.

PU030071, Junbiao Zhang, "An identity mapping mechanism in WLAN access control with public authentication serves" US Provisional Serial No. 60/453,329, addresses the same issue as this invention and uses a separate secure communication session between the hot spot network and the service provider network that is initiated by the hot spot network. Thus two separate secure sessions need to be maintained.

What is needed is a mechanism for improving the security and access control over a network such as a wireless local area network ("WLAN") that takes advantage of web browser interactions without requiring an explicit separate communication session between a hot spot network and a service provider network.

## SUMMARY OF THE INVENTION

5 A method for controlling access to a network includes a mobile terminal and an access point for relaying network communications to and from the mobile terminal, and an authentication server for performing an authentication process in response to a request from the mobile terminal. The method comprises at the access point, receiving a request to access the network from a mobile terminal, associating unique data with an identifier of the mobile terminal and storing a mapping of the association. The unique data is transmitted to the mobile terminal for use in authenticating the mobile terminal via an authentication server. At 10 the authentication server, the step of authenticating the mobile terminal is performed using the unique data, and upon authentication, redirecting a success code to the mobile terminal, including a digitally signed authentication message and authentication parameters corresponding to the unique data, using a re-direct header. The access point receives the digitally signed retrieved re-directed URL and authentication parameters from the mobile 15 terminal and correlates the authentication parameters with the mapped association data for determining access to the network.

According to another aspect, a system for controlling access to a network comprises a mobile terminal, an access point coupled to a local server for relaying network communications to and from the client, and an authentication server for performing an 20 authentication process in response to a request from the client. The local server in response to a re-directed request to access the network from the client, associates unique data with an identifier of the mobile terminal, stores a mapping of the association, and transmits the unique data to the client for use in authenticating the client via the authentication server. The authentication server, upon authenticating the client using the unique data, is operative to 25 provide a re-direct header for access to the client including a digitally signed authentication message and authentication parameters corresponding to the unique data, the AP receiving the digitally signed retrieved re-directed URL and authentication parameters from the client and correlating the authentication parameters with the mapped association data for determining access to the network based on the results of the correlation.

## BRIEF DESCRIPTION OF THE DRAWINGS

The invention is best understood from the following detailed description when read in connection with the accompanying drawings. The various features of the drawings are not specified exhaustively. On the contrary, the various features may be arbitrarily expanded or reduced for clarity. Included in the drawings are the following figures:

Fig. 1 is a block diagram of a communications system for practicing the method of the present principles for authenticating a mobile wireless communications device.

10 Fig. 2 is a block diagram of the communications system where the authentication server is behind a firewall.

Fig. 3 is a message exchange diagram depicting the operation of the present invention.

15

## DETAILED DESCRIPTION OF THE INVENTION

In the figures to be discussed, the circuits and associated blocks and arrows represent functions of the method according to the present invention, which may be implemented as electrical circuits and associated wires or data busses, which transport electrical signals. Alternatively, one or more associated arrows may represent communication (e.g., data flow) between software routines, particularly when the present method or apparatus of the present invention is implemented as a digital process.

20 In accordance with Fig. 2, one or more mobile terminals represented by MT 140 communicate through a WLAN access point AP and associated computers 120 (e.g. local servers) in order to obtain access to a network and associated peripheral devices, such as a database coupled to the network. There is at least one access point. The AP and the local server may be co-located and/or a single unit may perform the functions of both the AP and the local server. The MT communicates with an authentication server 150 for securing access and authentication to the network. It should be understood that the principles embodying the present invention, though described herein with respect to a wireless network such as a WLAN, may nevertheless find application to any access network, whether wired or wireless.

25

30

As further illustrated in Fig. 2, the IEEE 802.1x architecture encompasses several components and services that interact to provide station mobility transparent to the higher layers of a network stack. The IEEE 802.1x network defines AP stations such as access point 130 and one or more mobile terminals 140 as the components that connect to the wireless medium and contain the functionality of the IEEE 802.1x protocols, that being MAC (Medium Access Control) and corresponding PHY (Physical Layer) (not shown), and a connection 127 to the wireless media. Typically, the IEEE 802.1x functions are implemented in the hardware and software of a wireless modem or a network access or interface card. This invention proposes a method for implementing an identification means in the communication stream such that an access point 130 compatible with the IEEE 802.1x WLAN MAC layers for downlink traffic (i.e. from the authentication server to the mobile terminal such as a laptop) may participate in the authentication of one or more wireless mobile communications devices/client devices 140 a local server 120 and a virtual operator, which includes an authentication server 150.

With reference now to FIG. 3, a method in accordance with the present invention for improving the security of a mobile terminal 140 in a WLAN 124 is generally accomplished by redirecting 210 a HTTP browser request 205 to a local server 120 via message 220. The method of the present invention includes embedding a session ID 215 and randomized number in a user input request to the mobile terminal, inside the HTTP request 205, authenticating the mobile terminal and including digital signature information along with the session ID and randomized number within a redirect request to retrieve data from the WLAN, whereby the AP performs a matching of the digital signature information received from the MT with a locally generated digital signature based on stored mapping data, to determine access to the WLAN.

More particularly, the method of the present invention processes an access request from a mobile terminal 140 through the WLAN 124, access point 130 (web request 205 from the mobile terminal 140), by embedding in a network address location such as a Uniform Resource Locator (URL) the session ID 215 and randomized number associated with an identifier of the mobile terminal.

The address of the client/MT is obtained from the {client, AP} 138 and the local server then generates unique data 215, which may include a session ID and a randomized number. The unique data is forwarded to the AP by the local server where an association

mapping is made between the unique data and an identifier of the MT/client. The MT/client identifier is the client/MT address and may be the physical address (PHY), the MAC address or the IP address of the MT/client. The association mapping is stored in the AP.

5 The local server then generates a Web page 235 and transmits/forwards the generated Web page to the MT/client including embedded information and a request for the MT/client to select an AS. The embedded information may include the unique data.

10 Upon receipt of the Web page, the MT/client transmits an authentication user input message 240 including the session ID to the AS. The AS responds by sending the MT/client an authentication input page 245 requesting authentication information from the MT/client. The MT/client responds to the authentication input request by supplying its credentials to the AS 250. Once the AS authenticates the MT/client, an authentication message 255, including a re-direct header is sent to the MT/client. The authentication message may also include an embedded digital signature, authentication parameters and at least a portion of the unique data.

15 The MT/client responds to the authentication message by retrieving and forwarding the re-directed URL 265, including the embedded digital signature, authentication parameters and session ID, to the AP. The AP creates a local digital signature 270 using the embedded information from the retrieved re-directed URL and the associated mapping and then performs a comparison between the locally generated digital signature and the digital signature generated by the AS. If there is a match between the two digital signatures then network access is granted 275. If there is no match between the two digital signatures then network access is denied.

25 According to an aspect of the invention, with reference to Fig. 3 (in conjunction with the system of Figs. 1 and 2), a method in accordance with the present invention for improving the security of a mobile terminal 140 in a WLAN environment 124 (e.g. public hot spot) redirects 210 the mobile user's browser request 205 to the local web server 120 of WLAN 124. The local server 120 receives the redirected browser request 220 and obtains an identifier (a) such as the MAC address 138 "a" associated with the mobile terminal 140, and generates a unique session ID (SID) 215 along with a randomized number "r". Note that the term randomized number as used herein includes any random numbers, pseudo-random numbers or other such numbers generated in a manner so as to provide at least a minimal

degree of randomness. Various mechanisms are known to exist for generating such numbers, the details of which are omitted here for brevity.

The WLAN 124 maintains a mapping between the session ID 215, MAC address 138 "a" and randomized number "r" of the mobile terminal 140, and stores a mapping M  
5 associating the session ID 215, the MAC address 138 "a" and the randomized number "r" in memory (e.g. lookup table, cache, RAM, flat files etc.) The address acts as an identifier for the client and may be a physical address (PHY), a MAC address or an IP address. In one configuration, the local server 120 generates a web page 235, requesting a user of the mobile  
10 terminal 140 to select a virtual operator and embedding the session ID 215 and randomized number "r" into web page 235 for transmission. This may be accomplished, for example, by embedding the session id and randomized number "r" in the URL address associated with the submit button to initiate the HTTPS session with the authentication server 150.

After the web page 235 is sent to the MT, the user makes an appropriate selection of an authentication server, and an authentication request 240 is sent having user input including  
15 the session ID (SID) 215 and randomized number "r" embedded in the request, through HTTPS to the selected authentication server 150. More particularly, the mobile terminal responds by embedding the URL associated with a submit button to start an HTTPS session with an authentication server 150, whereby the MT sends the authentication request 240 having the session ID 215 embedded in the request, through HTTPS to the authentication  
20 server 150.

In response, the authentication server 150 processes the request and communicates to the MT an authentication input page 245 requesting authentication information. The user then inputs certain authentication parameters or credentials 250 (e.g. user name and password) and submits them to the authentication server 150 through HTTPS.

25 The authentication server then receives the authentication credentials 250 from the MT and authenticates the user based on the received information and the trust relationship with the MT. The authentication server then generates a success code 255 including associated information (e.g. authentication information) relevant to MT access. This information is provided as a parameter list "p" for the access network or WLAN. The  
30 parameter list "p" together with the randomized number "r" and session id 215 are then put together (e.g. concatenated, juxtaposed or otherwise combined) and digitally signed by the AS. Such digital signature may be accomplished, for example, by using the authentication



server's private key or with a shared key or hash between the authentication server and the WLAN. The resulting digital signature from the AS is denoted as "g".

5 The AS then returns an HTTP redirect header 260 to the MT to redirect the user browser to a URL on the AP WLAN. The parameter list "p", session id SID and digital signature "g" are embedded in the URL from the AS and sent to the MT. In one configuration, the redirection header can be an actual HTTP header. In another configuration, the redirection header may be an "HTTP-EQUIV" directive in the returned HTML page.

10 In response to the HTTP redirection, the user browser MT attempts to retrieve the redirected URL 265 with the MT sending the parameter list "p", SID 215, and digital signature "g" to the WLAN 124. In response to the received information (re-directed URL) 265 from the MT, the WLAN then retrieves the randomized number "r" and the identifier "a" from the stored mapping data using the SID from the stored mapping data. More particularly, the local server 120 receives the SID sent in the redirected URL request from the  
15 MT, and uses the received SID along with the mapped stored data M, which also contains the SID to determine the corresponding randomized number "r" and address or mobile communications device identifier "a". The WLAN then puts the received parameter list "p" from the MT together with the randomized number "r" retrieved from the stored mapping data and the SID following the same method that was used by the AS in generating digital  
20 signature "g", in order to generate its own digital signature "g'" (270). The WLAN then compares the digital signatures "g" and "g' ". The parameter list "p" will be accepted and access to the WLAN enabled only if it is determined that "g" and "g' " are the same (275). Various actions such as changing traffic filtering rules can then be taken with respect to the MT address identifier "a". The above-described access control mechanism enables  
25 authentication and network access for a mobile terminal without the need for maintaining two (or more) separate secure communications sessions.

It is to be understood that the form of this invention as shown is merely a preferred embodiment. For example, while the embodiments described refer to a WLAN access system, the aforementioned system and method is applicable for any access network, whether  
30 wired or wireless. Further, it is understood that the subject invention may reside in the program storage medium that constrains operation of the associated processors(s), and in the method steps that are undertaken by cooperative operation of the processor(s) on the

messages within the communications network. These processes may exist in a variety of forms having elements that are more or less active or passive. For example, they exist as software program(s) comprised of program instructions in source code or object code, executable code or other formats. Any of the above may be embodied on a computer readable medium, which include storage devices and signals, in compressed or uncompressed form. Exemplary computer readable storage devices include conventional computer system RAM (random access memory), ROM (read only memory), EPROM (erasable, programmable ROM), EEPROM (electrically erasable, programmable ROM), flash memory, and magnetic or optical disks or tapes. Exemplary computer readable signals, whether modulated using a carrier or not, are signals that a computer system hosting or running the computer program may be configured to access, including signals downloaded through the Internet or other networks. Examples of the foregoing include distribution of the program(s) on a CD ROM or via Internet download.

The same is true of computer networks in general. In the form of processes and apparatus implemented by digital processors, the associated programming medium and computer program code is loaded into and executed by a processor, or may be referenced by a processor that is otherwise programmed, so as to constrain operations of the processor and/or other peripheral elements that cooperate with the processor. Due to such programming, the processor or computer becomes an apparatus that practices the method of the invention as well as an embodiment thereof. When implemented on a general-purpose processor, the computer program code segments configure the processor to create specific logic circuits. Such variations in the nature of the program carrying medium, and in the different configurations by which computational and control and switching elements can be coupled operationally, are all within the scope of the present invention.

Various other changes may be made in the function and arrangement of parts; equivalent means may be substituted for those illustrated and described; and certain features may be used independently from others without departing from the spirit and scope of the invention as defined in the following claims.